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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

# 7

Application of: Pramod K. Srivastava

Application No.: 09/873,403

Group Art Unit: Not yet assigned

Filed: June 4, 2001

Examiner: Not yet assigned

For: COMPLEXES OF ALPHA (2)  
MACROGLOBULIN AND ANTIGENIC  
MOLECULES FOR IMMUNOTHERAPY

Attorney Docket No.: 8449-178-999

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.56 AND §1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the U.S. Patent and Trademark Office of all references coming to the attention of each individual associated with the filing and prosecution of the subject application which are or may be material to the patentability of any claim of the application, Attorneys for Applicant respectfully direct the Examiner's attention to the references AA-DZ listed on the attached revised form PTO 1449.

The above-identified application is a continuation-in-part of, and claims benefit under 35 U.S.C. § 120 to, copending application no. 09/625,139, filed July 25, 2000, claiming benefit under 35 U.S.C. § 119(e) to provisional application no. 60/209,266, filed June 2, 2000. Pursuant to 37 C.F.R. § 1.98(d), the Examiner is directed to the file of application no. 09/625,139 for copies of the listed references, which are not being submitted herewith.

While not to be construed as indicating that the Examiner should not review and consider fully all the listed references, Applicant particularly directs the Examiner's attention to references AG, AM, AX, AY, AZ, BA, BF, BK, BM, CK, CL, CU, and CV.

Identification of the listed references is not to be construed as an admission of Applicant or Attorneys for Applicant that such references are available as "prior art" against

the subject application. Consequently, Applicant respectfully declines to use form PTO-1449, since this form identifies all of the references cited therein as "Prior Art." As an alternative, Applicant submits herewith a "revised form PTO 1449" entitled "List of References Cited by Applicant" instead of "List of Prior Art Cited."

Applicant requests that the Examiner review all the references identified on the attached revised PTO Form 1449, and that they be made of record in the file history of the above-identified application.

Pursuant to 37 C.F.R. § 1.97(b), since it is believed that this information disclosure statement is being filed before the mailing date of a first Office Action on the merits, no fee is due in connection herewith. However, should the Patent Office determine otherwise, please charge the required fee to Pennie & Edmonds LLP deposit account no. 16-1150; a duplicate of this sheet is enclosed.

Respectfully submitted,

Date: September 25, 2001

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Enclosures